



Notice of a public meeting of

Audit & Governance Committee

To: Councillors Pavlovic (Chair), Fisher (Vice-Chair), Mason, D Taylor, Wann, Webb, Lomas

Mr Mann (Independent Member) and Mr Mendus (Independent Member)

Date: Wednesday, 18 September 2019

Time: 5.30 pm

Venue: The George Hudson Board Room - 1st Floor West Offices (F045)

AGENDA

1. Declarations of Interest

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

2. Minutes (Pages 1 - 6)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 29 July 2019.

3. Public Participation

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm on Tuesday 17 September 2019.**

To register please contact the Democracy Officer for the meeting, on the details at the foot of this agenda.

Filming, Recording or Webcasting Meetings

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at: <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:
http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf

4. Mazars Annual Audit Letter 2018/19 (Pages 7 - 24)

This report summarises the outcome of Mazars audit of the Council's 2018/19 annual accounts and their work on the value for money conclusion.

5. New Code of Audit Practice - 2020 Consultation update (Pages 25 - 40)

This report summarises the outcome of Mazars audit of the Council's 2018/19 annual accounts and their work on the value for money conclusion.

6. Monitor 2 2019/20 - Key Corporate Risks (Pages 41 - 138)

The purpose of this paper is to present Audit & Governance Committee (A&G) with an update on the key corporate risks (KCRs) for City of York Council (CYC).

7. Internal Audit Follow Up Report (Pages 139 - 146)

This is the regular six monthly report to the committee setting out progress made by council departments in implementing actions agreed as part of internal audit work.

8. Audit & Counter Fraud Monitoring Report (Pages 147 - 188)

This report provides an update on progress made in delivering the internal audit work plan for 2019/20 and on current counter fraud activity.

9. Review of the Constitution and Governance Arrangements
(Pages 189 - 192)

This report provides an update to members of the Audit & Governance Committee regarding the proposed review of the Constitution and the Council's Governance Arrangements.

10. Information Governance & Complaints (Pages 193 - 212)

This report provides Members with updates in respect of:

- Information governance performance
- ICO decision notices
- Publication Scheme and publishing responses
- LGSCO Complaints from April 2019 to date of this report

11. Audit & Governance Committee Forward Plan to July 2020
(Pages 213 - 220)

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to July 2020.

12. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Louise Cook

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.